

# Magnetism

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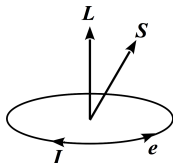
Abinit School, Lyon, France 16/05/2014



- Origin of magnetism:
  - Inside an atom
  - Between 2 atoms
  - Interaction with ligands
  - Interaction through ligands
  - Spin orientation
- Collinear magnetism in DFT
- Non-collinear magnetism in DFT
- Finite magnetic field
- Constrained magnetic moments

# Origin of magnetism

(Semi) Classical picture: Bohr atom model ( $L$ ) + electron spin  $S$



Spin and Orbital contributions to the magnetization:

$$M = (2\langle S \rangle + \langle L \rangle) \mu_B \quad \mu_B = \frac{\hbar e}{2mc}$$

In most cases  $\langle S \rangle \gg \langle L \rangle \rightarrow$  magnetism comes from the spins:

$$M \simeq 2\langle S \rangle \mu_B$$

# Origin of magnetism: Inside an atom

2 electrons with the same  $l$  but different  $m_l$  (says,  $\Phi_a$  and  $\Phi_b$  orbitals):

$$H = H_1 + H_2 + H_{12} \qquad H_{12} = -\frac{e^2}{4\pi\epsilon_0 r_{12}}$$

and:  $\langle \phi_a(r_i) | H_i | \phi_a(r_i) \rangle = E_1$ ,  $\langle \phi_b(r_i) | H_i | \phi_b(r_i) \rangle = E_2$ ,  $\langle \phi_i | \phi_j \rangle = \delta_{ij}$

Each electron can have spin up and down states  $\longrightarrow$  4 spin-orbitals:

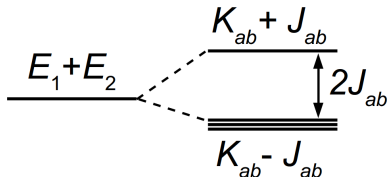
$$|\uparrow\uparrow\rangle \quad |\uparrow\downarrow\rangle \quad |\downarrow\uparrow\rangle \quad |\downarrow\downarrow\rangle$$

Such as we have:

$$H = E_1 + E_2 + \begin{pmatrix} K_{ab} - J_{ab} & 0 & 0 & 0 \\ 0 & K_{ab} & -J_{ab} & 0 \\ 0 & -J_{ab} & K_{ab} & 0 \\ 0 & 0 & 0 & K_{ab} - J_{ab} \end{pmatrix}$$

# Origin of magnetism: Inside an atom

The diagonalization gives a triplet (FM) and a singlet (AFM) states:



where we have the Coulomb and Exchange integrals:

$$K_{ab} = \frac{e^2}{4\pi\epsilon_0} \int d^3r_1 \int d^3r_2 \frac{|\phi_a(r_1)|^2 |\phi_b(r_2)|^2}{|r_{12}|} (= U)$$
$$J_{ab} = \frac{e^2}{4\pi\epsilon_0} \int d^3r_1 \int d^3r_2 \frac{\phi_a^*(r_1)\phi_b(r_1)\phi_b^*(r_2)\phi_a(r_2)}{|r_{12}|}$$

The Hamiltonian can be re-written in the Heisenberg form:

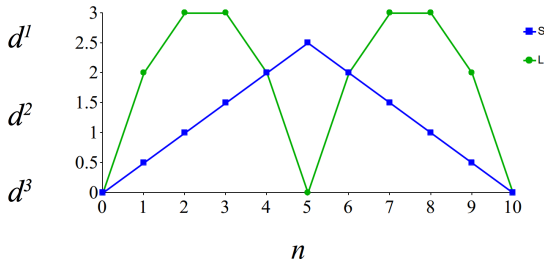
$$H = \text{constant} - 2J_{ab} \mathbf{S}_1 \cdot \mathbf{S}_2$$

# Origin of magnetism: Inside an atom

To have  $\langle S \rangle \neq 0$  one needs partially filled orbitals ex:  $d^n$  orbitals



...



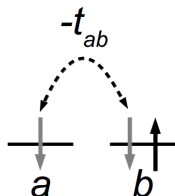
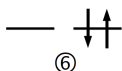
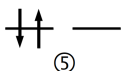
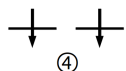
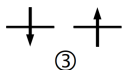
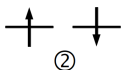
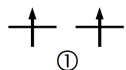
Hund's rules

Most of the crystals are magnetic because they contain Transition-Metal and Rare Earth atoms ( $d$  and  $f$  electrons)

# Origin of magnetism: Between two atoms

The FM state is lower in energy in an atom (Hund's rules).

But in  $H_2$  molecule:



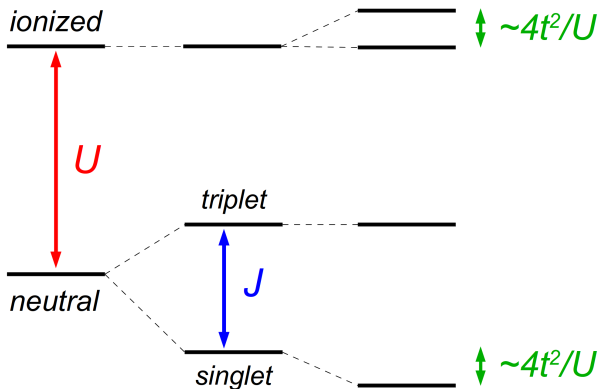
Similar Heisenberg Hamiltonian:  $H = constant - 2J_{ab}\mathbf{S}_1 \cdot \mathbf{S}_2$

but with the 1s orbital overlap between the 2 atoms:  $J < 0$

The singlet AFM state is lower in energy!

# Origin of magnetism: Between two atoms

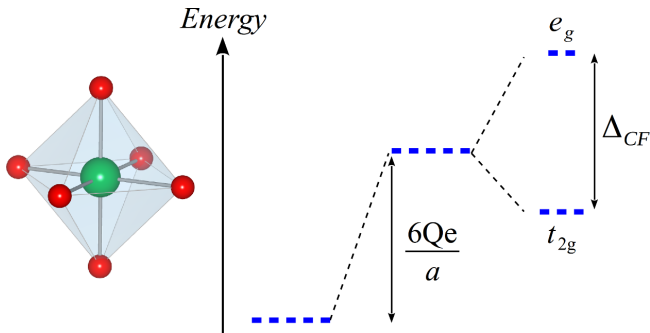
The hopping process reinforce the AFM state:





# Origin of magnetism: Interaction with ligands

## Atom in a solid: Crystal Field Splitting

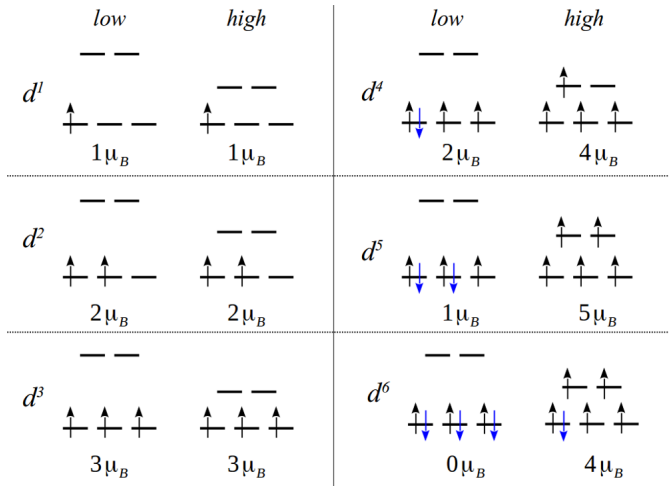


Atomic  $d$  (or  $f$ ) orbitals splitted due to the surrounding atoms.

Hund's rules still apply.

# Origin of magnetism: Interaction with ligands

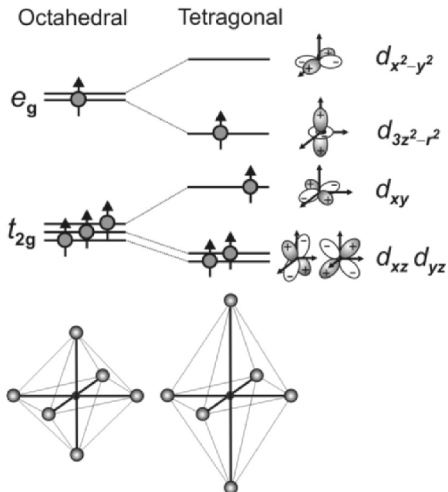
High-spin and low-spin configurations:



Depending on the size of  $\Delta_{CF}$  relative to  $U$ .

# Origin of magnetism: Interaction with ligands

Be aware of the Jahn-Teller effect:

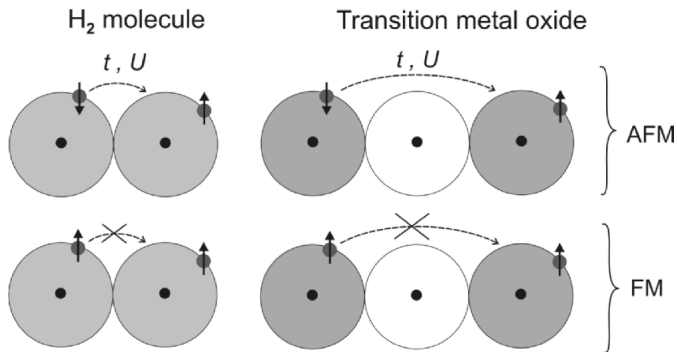


from Stöhr and Siegmann, *Magnetism*, Springer 2006

Very strong in  $Mn^{3+}$  ( $d^4$ ),  $Cr^{2+}$  ( $d^4$ ),  $Cu^{2+}$  ( $d^9$ )

# Origin of magnetism: Interaction through ligands

## Atoms interacting through the ligands: Superexchange



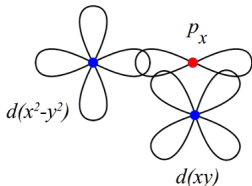
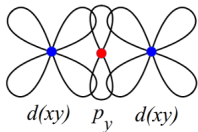
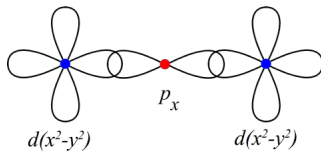
*from Stöhr and Siegmann, Magnetism, Springer 2006*

Heisenberg picture still holds (localised electrons,  $t \ll U$ ):  $H = JS_1 S_2$

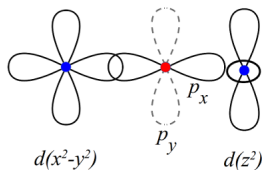
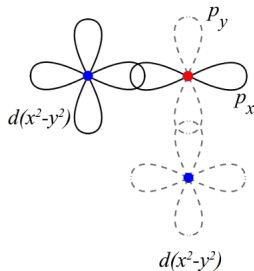
# Origin of magnetism: Interaction through ligands

SE depends on the bonding: Goodenough-Kanamori rules

*AFM superexchange paths*



*FM superexchange paths*



# Origin of magnetism: Spin orientation

2<sup>nd</sup> order Heisenberg model for localised magnetic moments:

$$H = -2 [J\mathbf{S} \cdot \mathbf{S}' + \mathbf{D} \cdot (\mathbf{S} \times \mathbf{S}') + \mathbf{S} \cdot \Phi \cdot \mathbf{S}']$$

- $J \mapsto$  Superexchange interaction (favors  $S \parallel S'$ )
- $\mathbf{D} \mapsto$  Dzyaloshinsky-Moriya interaction (favors  $S \perp S'$ )
- $\Phi \mapsto$  Single Ion Anisotropy (easy/hard spin orientation)

One wants to estimate  $J$ ,  $D$  and  $\Phi$  from DFT!

see for ex: PRB 84, p.224429 (2011), PRB 86, 094413 (2012)

# Magnetism in DFT: Collinear case

DFT based on the charge density  $\rho(r)$

To enlarge DFT to (collinear) magnetism, decomposition of the density:

$$\rho = \rho(\uparrow) + \rho(\downarrow)$$

The Hohenberg and Kohn theorem generalizes with an energy functional:

$$E = E[\rho(\uparrow), \rho(\downarrow)]$$

With 2 Kohn-Sham equations to be solved, one for each spin-channel  $\sigma$ :

$$(T + V_{Ri}(r) + V_H(r) + V_{xc,\sigma}) \phi_{i\sigma}(r) = \epsilon_{i\sigma}(r)$$

with

$$V_{xc,\sigma} = \frac{\delta E_{xc}[\rho(\uparrow), \rho(\downarrow)]}{\delta \rho_{\sigma}(r)}$$

# Magnetism in DFT: Collinear case

Then minimizing the K-S equations we get the ground state with:

$$\rho = \rho(\uparrow) + \rho(\downarrow) \quad \text{and magnetization } m = \rho(\uparrow) - \rho(\downarrow)$$

Supposing the magnetic moments are localised around the atoms (this is often the case for  $d$  and  $f$  electrons), one can compute magnetization on each atom (*prtdensph* input flag in ABINIT):

Integrated total density in atomic spheres:

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Atom	Sphere radius	Integrated_up_density	Integrated_dn_density	Total(up+dn)	Diff(up-dn)
1	1.71336	8.3387428	4.8812937	13.2200366	3.4574491
2	1.71336	4.8812937	8.3387428	13.2200366	-3.4574491
3	1.41192	2.8466448	2.8566529	5.7032978	-0.0100080
4	1.41192	2.8466448	2.8566529	5.7032978	-0.0100080
5	1.41192	2.8566529	2.8466448	5.7032978	0.0100080
6	1.41192	2.8566529	2.8466448	5.7032978	0.0100080

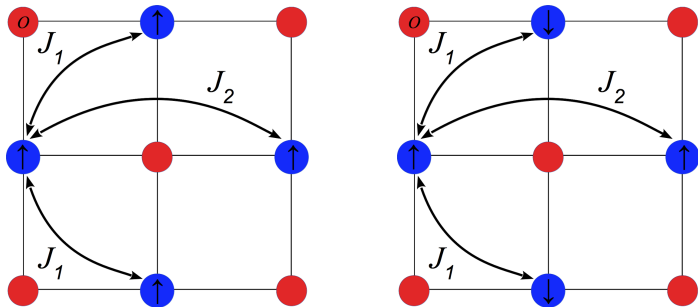
Note: Diff(up-dn) can be considered as a rough approximation of a local magnetic moment.

(FeF<sub>2</sub> example)



# Magnetism in DFT: Collinear case

Superexchange constants  $J$  can be estimated. Ex: Rock-Salt oxides



One needs to compute the energy for ferro and antiferro in order to extract  $J_1$  and  $J_2$  from:

$$E = E_0 + S \sum_i J_i S_i$$

see for ex: PRB 84, p.224429 (2011), PRB 86 86, 094413 (2012)

# Magnetism in DFT: Non-collinear case

Wave functions are described by spinors:

$$\phi_i(\mathbf{r}) = \begin{pmatrix} \phi_{i\uparrow} \\ \phi_{i\downarrow} \end{pmatrix}$$

Such as the density is a  $2 \times 2$  matrix:

$$\rho = \begin{pmatrix} \rho^{\uparrow\uparrow} & \rho^{\uparrow\downarrow} \\ \rho^{\downarrow\uparrow} & \rho^{\downarrow\downarrow} \end{pmatrix} = \frac{1}{2} \begin{pmatrix} n + m_z & m_x - im_y \\ m_x + im_y & n - m_z \end{pmatrix}$$

with  $n$  the electron density and  $m_i$  the magnetization density along the direction  $i$

$$n(\mathbf{r}) = \frac{1}{2} \text{Tr} \rho(\mathbf{r}) = \sum_{\alpha} \rho^{\alpha\alpha}(\mathbf{r}) \quad \mathbf{m}(\mathbf{r}) = \sum_{\alpha\beta} \rho^{\alpha\beta}(\mathbf{r}) \cdot \sigma_{\alpha\beta}$$

with the Pauli matrices  $\sigma_{\alpha\beta} = (\sigma_x, \sigma_y, \sigma_z)$ :

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

# Magnetism in DFT: Non-collinear case

Kohn-Sham equations with spinors:

$$\sum_{\beta} H^{\alpha\beta} |\phi_i^{\beta}\rangle = \epsilon_i |\phi_i^{\alpha}\rangle$$

where the Hamiltonian is a  $2 \times 2$  matrix:

$$H^{\alpha\beta} = T\delta_{\alpha\beta} + V(r)\delta_{\alpha\beta} + \int \frac{n(r')}{r-r'} dr' \delta_{\alpha\beta} + V_{xc}^{\alpha\beta}(r)$$

and:

$$V_{xc}^{\alpha\beta}(r) = \frac{\delta E_{xc}[\rho(r)]}{\delta \rho^{\alpha\beta}(r)}$$

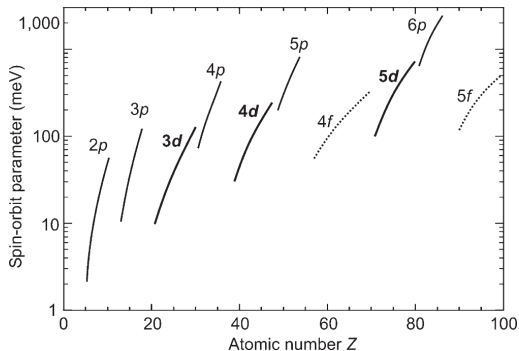
$\rho^{\alpha\beta}$  is diagonal when  $m = m_z \mapsto$  collinear case.

However one needs Spin-Orbit coupling in order to couple directions (space rotations) to the spins.

# Magnetism in DFT: Non-collinear case

Spin-Orbit coupling:

$$H = H_{KS} + \lambda(r)\mathbf{L} \cdot \mathbf{S} = H_{KS} + \frac{\hbar^2}{2m^2c^2} \frac{1}{r} \frac{dV}{dr} \mathbf{L} \cdot \mathbf{S}$$

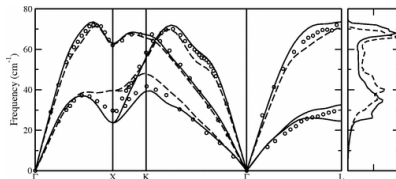


from Stöhr and Siegmann, *Magnetism*, Springer (2006)

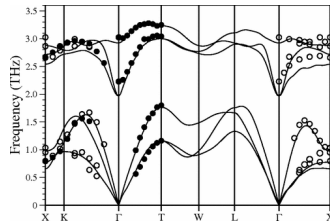
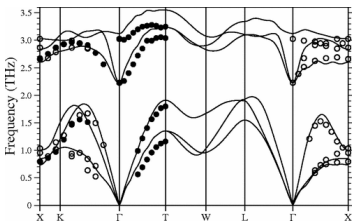
# Magnetism in DFT: Non-collinear case

Spin-Orbit coupling important for heavy elements:

Phonons of Pb, Phys. Rev. B 78, 045119 (2008):



Phonons of Bi, Phys. Rev. B 76, 104302 (2007):



# Magnetism in practice with Abinit

*nsppol*, *nspinor* and *nspden* input flags:

	<i>nsppol</i>	<i>nspinor</i>	<i>nspden</i>
Non-magnetic	1	1	1
Collinear FM	2	1	2
Collinear AFM	1	1	2
Non-Collinear	1	2	4

When the non-collinear flags are "on" the SOC coupling is switch on (controlled by *so\_psp* or *pawspnorb* flags).

With SOC better not to use time-reversal symmetry (*kptopt* = 3 or 4).

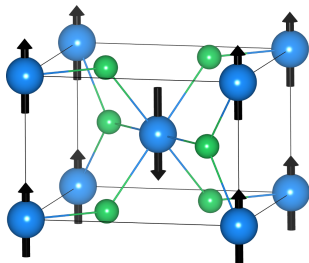
Initialize *spinat* = ( $m_x$ ,  $m_y$ ,  $m_z$ ) for each atom.

# Magnetism in practice with Abinit

Ex: NiF<sub>2</sub>

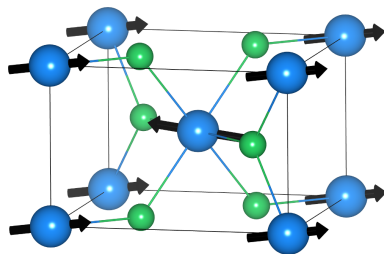
spinat = (0,0,m)  $\mapsto$  no canting

spinat = (m,0,0)  $\mapsto$  canting along z



*prtdensph*

x_mag	y_mag	z_mag
-0.0000000	-0.0000000	1.4380590
0.0000000	-0.0000000	-1.4352794
0.0000000	0.0000000	-0.0517603
-0.0000000	-0.0000000	-0.0517603
-0.0000000	0.0000000	-0.0511159
0.0000000	-0.0000000	-0.0511159



*prtdensph*

x_mag	y_mag	z_mag
1.7778831	-0.0574651	0.1310639
-1.7772407	0.0151209	0.1503122
-0.0301506	-0.0004283	0.0071212
-0.0301502	-0.0004282	0.0071204
0.0302100	-0.0016721	0.0068381
0.0301953	-0.0016709	0.0068350

# Applied magnetic field

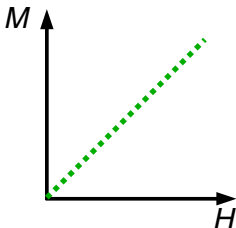
Applying a (Zeeman) magnetic field on the spins gives (*zeemanfield* flag):

$$V_H = -\mu_B\mu_0 \begin{pmatrix} H_z & H_x + iH_y \\ H_x - iH_y & -H_z \end{pmatrix}$$

Allows to access to:

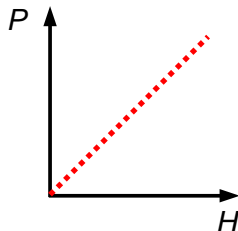
Linear magnetic susceptibility tensor

$$\mathbf{M} = \chi\mathbf{H}$$



Linear magnetoelectric tensor

$$\mathbf{P} = \alpha\mathbf{H}$$



As well as non-linear responses!



# Linear magnetoelectric response

Free energy of a crystal under  $E$  and  $H$  fields:

$$-F(E, H) = \dots + \frac{1}{2} \epsilon_0 \epsilon_{ij} E_i E_j + \frac{1}{2} \mu_0 \mu_{ij} H_i H_j + \alpha_{ij} E_i H_j + \dots$$

Polarization:

$$P_j = -\frac{\partial F(E, H)}{\partial E_j} = \dots + \epsilon_0 \epsilon_{ij} E_i + \alpha_{ij} H_j + \dots$$

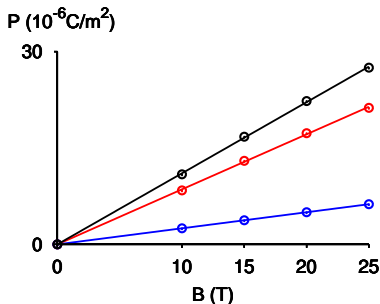
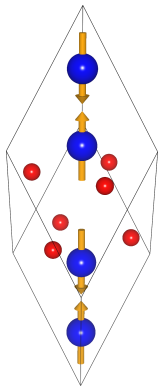
Magnetization:

$$M_j = -\frac{\partial F(E, H)}{\partial H_j} = \dots + \mu_0 \mu_{ij} H_i + \alpha_{ij} E_j + \dots$$

$\alpha$  = magnetoelectric tensor

# Ex: Linear ME in $\text{Cr}_2\text{O}_3$

- Collinear AFM oxide
- First experimental evidence of linear ME effect: *D. N. Astrov (1961)*



$P_{tot}$  (Berry Phase)

$$P_{ion} \left( \sum \frac{Z^* \delta_{ion}}{\Omega} \right)$$

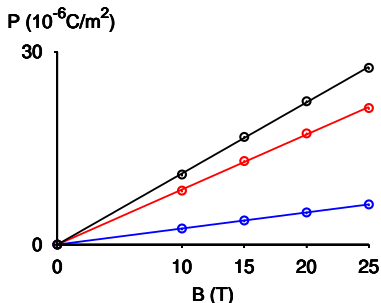
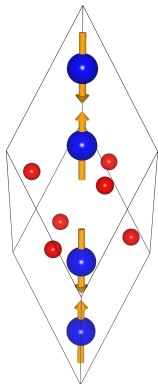
$P_{elec}$  or clamped ion  
(Berry Phase)

Precision on energy/potential is crucial for  $P_{elec}$  (tolde  $\sim 10^{-12}$  Ha )

Precision on forces is crucial for  $P_{ion}$  (tolmxf  $\sim 10^{-7}$  Ha/Bohr )

# Linear ME in $\text{Cr}_2\text{O}_3$

- Collinear AFM oxide
- First experimental evidence of linear ME effect: *D. N. Astrov (1961)*



ME coef:

$$\alpha_{tot}^{spin} = 1.45 \text{ ps.m}^{-1}$$

( $\alpha_{exp} = 1 - 4 \text{ ps.m}^{-1}$ )

$$\alpha_{ion}^{spin} = 1.1 \text{ ps.m}^{-1}$$

$$\alpha_{elec}^{spin} = 0.34 \text{ ps.m}^{-1}$$

PRL 106, p.107202 (2011)

# Constrained magnetic moment calculations ( $\beta$ version)

Constrain the direction of the magnetic moments (*magconon* flag =1) :

Lagrange multiplier: 
$$E = E_{KS} + \sum_i \lambda \left[ \mathbf{m}_i - \mathbf{m}_i^0 (\mathbf{m}_i^0 \cdot \mathbf{m}_i) \right]^2$$

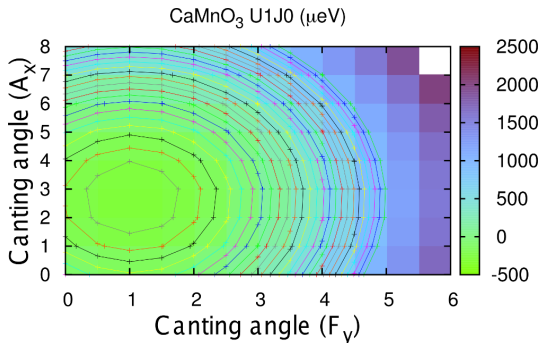
Constrain the direction and the amplitude of the magnetic moments (*magconon* flag =2) :

$$E = E_{KS} + \sum_i \lambda \left[ \mathbf{m}_i - \mathbf{m}_i^0 \right]^2$$

with  $\lambda$  the strength of the Lagrange multiplier (*magcon\_lambda* flag) and  $\mathbf{m}_i^0$  the desired magnetic moment on each atom  $i$  (given by *spinat*).

# Constrained magnetic moment calculations ( $\beta$ version)

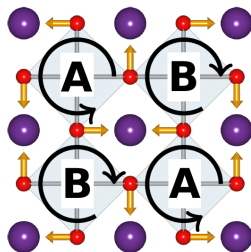
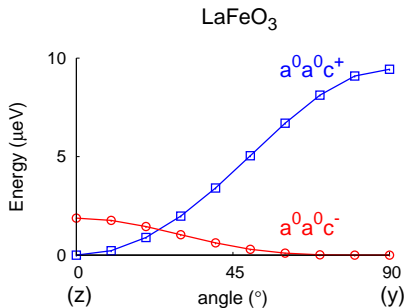
Useful to explore the spin energy landscape "by hand" (in case of multiple local minima of complex and flat energy landscapes):



And also to compute the magnetocrystalline anisotropy energy.

# Ex: Effect of distortions on SIA and MCA in $\text{LaFeO}_3$

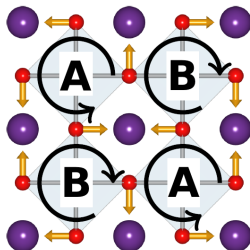
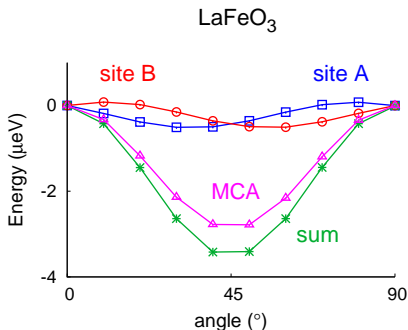
Octahedra distortions ( $a^0 a^0 10^{+/-}$ ):



SIA in the  $xy$  plane with  $a^0 a^0 10^-$  and along  $z$  axis for  $a^0 a^0 10^+$

# Effect of distortions on SIA and MCA in $\text{LaFeO}_3$

Octahedra distortions ( $a^0 a^0 10^-$ ): SIA local easy axis follows octahedra



“Global” easy-axis =  $[110]$

PRB 86, p.094413 (2012)

# Conclusions

## DFT + spins:

- Collinear magnetism: easy to handle
- Non-Collinear magnetism: often less easy
- Often DFT+ $U$  or Hybrid functionals are better for magnetic systems
- Allows to compute:
  - (Super) Exchange interaction between spins ( $J$ ).
  - Spin canting / Dzyaloshinsky-Moriya interaction ( $D$ )
  - Magnetic anisotropy
  - Response under Zeeman field (magnetic and magnetoelectric susceptibilities)

## Future/on-going works:

- Orbital magnetism: J. Zwanziger and X. Gonze Phys. Rev. B 84, 064445 (2011)
- Constrained moments, spin dispersion
- DFPT with Zeeman field
- ...